

Almost Gorenstein determinantal rings of symmetric matrices

Naoki Endo

School of Political Science and Economics, Meiji University

Based on the work jointly with

Ela Celikbas, Jai Laxmi, and Jerzy Weyman

MSJ Spring Meeting 2025

March 21, 2025

Introduction

Determinantal rings

- $m, n \geq 2$ integers
- $X = [X_{ij}]$ an $m \times n$ matrix of indeterminates over an infinite field k
- $S = k[X] = k[X_{ij} \mid 1 \leq i \leq m, 1 \leq j \leq n]$
- $I_t(X)$ the ideal of S generated by $t \times t$ -minors of X , where $2 \leq t \leq \min\{m, n\}$
- $R = S/I_t(X)$
- R is CM with $\dim R = mn - (m - (t - 1))(n - (t - 1))$ ([Hochster-Eagon, 1970])
- R is Gorenstein $\iff m = n$ ([Svanes, 1974])

Theorem 1 (Taniguchi, 2018)

Let $\mathfrak{m} = R_+$ be the graded maximal ideal of R . Then TFAE.

- (1) R is an almost Gorenstein graded (AGG) ring.
- (2) $R_{\mathfrak{m}}$ is an almost Gorenstein local (AGL) ring.
- (3) Either $m = n$, or $m \neq n$ and $2 = t = \min\{m, n\}$.

- R is AGG $\implies R_m$ is AGL, and the converse is NOT true in general.
- The converse holds when $R = S/I_t(X)$ or $R = k[H]$ ([E-Matsuoka, 2024]).

Question 2 (Goto)

Under what conditions are the determinantal rings of **symmetric matrices** AG?

Determinantal rings of symmetric matrices

- $n \geq 2$ integer
 - $X = [X_{ij}]$ an $n \times n$ **symmetric matrix** of indeterminates over an infinite field k
 - $S = k[X] = k[X_{ij} \mid 1 \leq i, j \leq n]$
 - $I_{t+1}(X)$ the ideal of S generated by $(t+1) \times (t+1)$ -minors of X , where $1 \leq t \leq n$
 - $R = S/I_{t+1}(X)$
-
- R is CM with $\dim R = nt - \frac{1}{2}t(t-1)$ ([Kutz, 1974])
 - R is Gorenstein $\iff n - t$ is odd ([Goto, 1979])

Main Theorem

Main Theorem (Celikbas-E-Laxmi-Weyman, 2022)

Let $\mathfrak{m} = R_+$ be the graded maximal ideal of R . Then TFAE.

- (1) R is an AGG ring.
- (2) $R_{\mathfrak{m}}$ is an AGL ring.
- (3) Either $n - t$ is odd, or $n = 3$ and $t = 1$.

Consider

- V an $n \times t$ matrix of indeterminates over k with $\text{ch } k = 0$
- $A = k[V]$
- $G = O(t, k)$ the orthogonal group.

Assume that G acts on A as k -automorphisms by taking V onto VH^{-1} for $H \in G$.

Then A^G is generated by the entries of the $n \times n$ symmetric matrix $Y = VV^T$ and the ideal of relations on Y is generated by the $(t+1) \times (t+1)$ minors of Y .

Corollary 3

A^G is AGG $\iff (A^G)_{\mathfrak{m}}$ is AGL \iff either $n - t$ is odd, or $n = 3$ and $t = 1$.

Proof of Main Theorem (2) \Rightarrow (3)

May assume $\text{ch } k = 0$. Set $A = R_m$ and $n = mA$. Choose an exact sequence

$$0 \rightarrow A \xrightarrow{\varphi} K_A \rightarrow C \rightarrow 0$$

s.t. $\mu_A(C) = e_n^0(C)$. May assume $n - t$ is even, i.e., A is not Gorenstein. Then, because $\varphi(1) \notin nK_A$, we get $\mu_A(C) = r(A) - 1$ and

$$0 \rightarrow n\varphi(1) \rightarrow nK_A \rightarrow nC \rightarrow 0.$$

By setting $d = \dim A$, we have

$$\mu_A(nK_A) \leq \mu_A(n) + \mu_A(nC) \leq \frac{n(n+1)}{2} + (d-1)(r(A)-1)$$

where the second follows from $nC = (f_1, f_2, \dots, f_{d-1})C$ for $\exists f_i \in n$.

By taking the S -dual of a graded minimal S -free resolution

$$0 \rightarrow F_\ell \rightarrow F_{\ell-1} \rightarrow \cdots \rightarrow F_0 \rightarrow R \rightarrow 0,$$

we get the presentation of K_R . Hence

$$\mu_R(m) \cdot \text{rank } F_\ell - \text{rank } F_{\ell-1} \leq \mu_R(mK_R) = \mu_A(nK_A).$$

Let X^s be the space of $n \times n$ symmetric matrices over k . Then

$$k[X^s] \cong k[\phi_{i,j} \mid 1 \leq i \leq j \leq n]$$

where $\phi_{i,j}$ denotes the (i,j) -th coordinate function on X^s . The subvariety

$$Y_t^s = \{\phi \in X^s \mid \text{rank } \phi \leq t\}$$

can be identified with the set of symmetric matrices whose minors of size $t+1$ vanish.

Hence

$$k[Y_t^s] \cong k[\phi_{i,j} \mid 1 \leq i \leq j \leq n] / J_{t+1}$$

where J_{t+1} denotes the ideal generated by $(t+1) \times (t+1)$ minors of $\Phi = [\phi_{i,j}]$.

Thus, in order to compute the ranks of the resolution of $R = S/I_{t+1}(X)$, it comes down to studying the resolution of $k[Y_t^s]$.

Using the Schur modules, we can compute

$$\text{rank } F_{\ell-1} = n \binom{n}{t+1} - \binom{n}{t+2} \quad \text{and} \quad \text{rank } F_{\ell} = \binom{n}{t}.$$

This implies $n = 3$ and $t = 1$, as desired. □

Thank you for your attention.